

# NUMBER THEORY

We will introduce some concrete examples:  
FACTORING, DISCRETE LOG, LEARNING  
WITH ERRORS.

Number Theory is about modular arithmetic  
 $\text{inc mod } n$ , namely

$$\mathbb{Z}_n = \{0, 1, 2, \dots, n-1\}.$$

Then you can have structures like

$$(\mathbb{Z}_n, +), (\mathbb{Z}_n, +, \cdot)$$

$$t, \cdot \text{ are mod } n.$$

For instance  $(\mathbb{Z}_n, +)$  is a GROUP.  
 The situation is different for  
 $(\mathbb{Z}_n, \cdot)$ , it is not always a  
 group.

LEMMA If  $\gcd(a, n) > 1$ , Then  
 $a \in \mathbb{Z}_n$  not invertible mod  $n$  w.r.t. "·".

Proof. Say  $a$  is INVERTIBLE  $\therefore \exists b \in \mathbb{Z}_m$   
s.t.  $a \cdot b = 1 \pmod m$ . Then:

$$ab = 1 + q \cdot m \quad \text{for } q \geq 0.$$

Now,  $\gcd(a, m)$  must divide also  
 $ab - qm$ , which means it also divides

$$1. \text{ Or, } \gcd(a, m) = 1. \quad \square$$

On The other hand, we'll see that

If  $\gcd(a, m) = 1$ , then  $a$  is  
invertible.

This motivates the following def:

$$\mathbb{Z}_n^* : \{ a \in \mathbb{Z}_n : \gcd(a, n) = 1 \}$$

$$\# \mathbb{Z}_n^* \triangleq \varphi(n)$$

↳ EULER TOTIENT  
FUNCTION.

Some special cases:

$$n = p = \text{a prime}$$

$$\# \mathbb{Z}_p^* \\ \parallel$$

$$\mathbb{Z}_p^* = \{ 1, \dots, p-1 \} ; \varphi(p) = p-1$$

$n = p \cdot q$  with  $p, q$  primes.

But we'll see  $\varphi(n) = (p-1) \cdot (q-1)$   
 $\equiv \# \mathbb{Z}_n^*$  (We'll show  
this later.)

We are interested in doing efficiently operations over  $\mathbb{Z}_n^*$  or  $\mathbb{Z}_p^*$  for pretty large  $n$  or  $p$  (e.g.  $|p|$  is 2048 bits)  
Something easy: Addition and multi-

ph calculation can be done in polynomial time. In fact  $O(\log^2 n)$ .

We now show, that the inverse can also be computed in polynomial time.

This is possible using the EXTENDED EUCLIDEAN ALGORITHM.

LEMMA Let  $a, b$  s.t.  $a \geq b > 0$ . Then  $\gcd(a, b) = \gcd(b, a \bmod b)$ .

Proof. We have  $a = q \cdot b + a \bmod b$  with  $q = \lfloor a/b \rfloor$ .

Now : a common divisor of  $a$  and  $b$   
 $\Rightarrow$  also a divisor of  $a \bmod b =$   
 $a - qb$ .

Similarly, a common divisor of  $a \bmod b$   
and  $b$  also divides  $a = qb + a \bmod b$ .

THM Given  $a \geq b > 0$  we can compute  
 $\gcd(a, b)$  in poly-time. Also,  
we can compute  $u, v$  s.t.

$$\gcd(a, b) = au + bv$$

COR. Assuming  $\text{gcd}(a, n) = 1$ , we  
can compute an polynomial inverse  
 $\mu, \nu$  s.t.

$$1 = \text{gcd}(a, n) = a \cdot \mu + n \cdot \nu$$

$$\Rightarrow a \cdot \mu = 1 \pmod n$$

$\mu$  is the inverse.

Example:  $a = 14$ ,  $b = 10$ . Then:

$$14 = 1 \cdot 10 + 4$$

$$10 = 2 \cdot 4 + 2 \leftarrow$$

$$\Rightarrow \gcd(14, 10) = 2$$

$$4 = 2 \cdot 2 + 0$$

Moreover:

$$2 = 10 - 2 \cdot 4 = 10 - 2 \cdot (14 - 1 \cdot 10)$$

$$= \underbrace{3}_{\sim} \cdot 10 + \underbrace{(-2)}_{\sim} \cdot 14$$

Dir. We apply the lemma recursively:

$$a = bq_1 + r_1 \quad 0 \leq r_1 < b$$

and  $\text{gcd}(a, b) = \text{gcd}(b, r_1)$ . Then:

$$b = r_1 \cdot q_2 + r_2 \quad 0 \leq r_2 < r_1$$

$$r_1 = r_2 \cdot q_3 + r_3 \quad \nearrow r_1 \bmod r_2$$

...

$$r_{n-1} = q_{n+2} r_{n+1} + r_{n+2} \quad \begin{matrix} r_n \bmod r_{n+1} \\ \parallel \end{matrix}$$

$$\Rightarrow \gcd(a, b) = \gcd(b, r_1) = \dots$$

$$= \dots = \gcd(r_t, r_{t+1})$$

$$= r_t$$

when  $r_{t+1} = 0$ .

It remains to show that  $t$  is poly-

mod  $n$   $|b| = \lambda$ .

Clearly,  $r_{i+1} < r_i$ . But we can

show  $r_{i+2} \leq r_i / 2$ .

If  $\kappa_{N+1} \leq \kappa_N/2$  Then it's immediate.

So assume  $\kappa_{N+1} > \kappa_N/2$ . But:

$$\kappa_{N+2} = \kappa_N \bmod \kappa_{N+1}$$

$$= \kappa_N - q_{N+2} \kappa_{N+1}$$

$$< \kappa_N - \kappa_N/2$$

$$= \kappa_N/2$$



$\approx 2\lambda$  steps !!

What about exponential version?

$$a^b = a^{\sum_{i=0}^t b_i \cdot 2^i} \pmod{n}$$

$$= \prod_{i=0}^t a^{b_i \cdot 2^i} \pmod{n}$$

$$= a^{b_0} \cdot (a^2)^{b_1} \cdot (a^4)^{b_2} \cdot \dots \cdot (a^{2^t})^{b_t} \pmod{n}$$

b as binary representation:  $b = (b_t \ b_{t-1} \ \dots \ b_0)$

Complexity:  $O(\log^3 \lambda)$

We also need to understand: prime numbers. Luckily there are MANY PRIMES.

THEM (PRIME NUMBER THEOREM).

$$\Pi(x) = \text{"\# primes } \leq x \text{"}$$

$$\geq \frac{x}{3 \log_2 x} \approx \frac{x}{\log x}$$

Assuming we can test primality (which we can), then we can generate

$\lambda$ -bit random primes:

- Sample  $x \leftarrow [2^{\lambda} - 1]$  and test if prime.
- If not, repeat.

$\Pr[\text{No output in } t \text{ steps}]$

$$\leq \left(1 - \frac{1}{3\lambda}\right)^t \leq \left(\frac{1}{e}\right)^t$$

for  $t = 3\lambda^2$ .

TTHM (Miller-Rabin '80, AKS '02).

We can test if a 1-bit value is prime in  $\text{poly}(\lambda)$  time.

The main idea behind this algorithm uses this theorem:

TTHM For all  $a \in \mathbb{Z}_n^*$  we have:

$$a^b \equiv a^{b \bmod \phi(n)} \pmod{n}$$

$$a^{\phi(n)} \equiv 1 \pmod{n}$$

← EULER  
THM.

$$(a^{p-1} \equiv 1 \pmod{p} \text{ if } p \text{ is prime})$$

↑  
FERMAT LITTLE THM.

Fermat Test:

- Given  $n$ , compute  $a^{n-1} \pmod{n}$ .

If not 1 output NOT PRIME.

- Else output MAYBE PRIME.

Doesn't work:

- There are  $n$  not prime s. t.

$$a^{n-1} = 1 \pmod n$$

(FERMAT LIARS)

- There are  $n$  not prime s. t.

$$a^{n-1} = 1 \pmod n \quad \forall a \in \mathbb{Z}_n^*$$

(CARMICHAEL NUMBERS)

Proof. On the one hand:

$$a^b = a^{q \cdot \varphi(n) + b \bmod \varphi(n)}$$

$$= \underbrace{(a^{\varphi(n)})^q}_{\text{by Euler's Theorem}} \cdot a^{b \bmod \varphi(n)}$$

$$= a^{b \bmod \varphi(n)}$$

The second part follows by Lagrange:

If  $H$  is a subgroup of  $G$ , then

$$|H| \mid |G|.$$

Now  $(\mathbb{Z}_n^*, \cdot)$  is a group with  $\varphi(n)$  elements. Consider the subgroup:

$$\begin{array}{ccccccc} e^0 & e^1 & e^2 & \dots & e^{d-1} & e^d \\ \parallel & & & & & \parallel \\ 1 & & & & & 1 \end{array}$$

Let  $d$  be the order.

Then by Lagrange the order of  $ns$   
s.t.  $d \cdot k = \varphi(n)$

$$\Rightarrow a^{\varphi(n)} \equiv (a^d)^k \equiv 1 \pmod{n}.$$

Let  $n = p$  a prime. We know that  $\mathbb{Z}_p^*$

$$\mathbb{Z}_p^* = \{1, 2, \dots, p-1\} \text{ is cyclic.}$$

$$\exists g \in \mathbb{Z}_p^* \text{ s.t.}$$

$$\mathbb{Z}_p^* = \{g^0, g^1, g^2, \dots, g^{p-2}\}$$

EXAMPLES: 3 is a generator of  $\mathbb{Z}_7^*$   
but 2 is NOT.

$$\{3^0, 3^1, 3^2, 3^3, 3^4, 3^5\} \pmod 7$$

$$= \{1, 3, 2, 6, 4, 5\}$$

$$3^6 \equiv 3^{p-1} \equiv 1 \pmod p$$

Instead  $2^3 \equiv 1 \pmod 7$ . It generates  
a subgroup.